



Docket No.: 4752-004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Inventor(s): Kimio ISHIMARU et al. : Confirmation No. 4555
U.S. Patent Application No. 10/521,095 : Group Art Unit:
Filed: January 13, 2005 :
For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING
METHOD, SYSTEM, PROGRAM, AND RECORDING MEDIUM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Respectfully submitted,

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INFORMATION DISCLOSURE

E CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
4752-004

U.S. APPLICATION NO.
10/521,095

APPLICANT
Kimio ISHIMARU et al.

FILING DATE
January 13, 2005

GROUP

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	2002-189825	07-05-2002	JP				
	2002-133109A	05-10-2002	JP				
	2002-163449A	06-07-2002	JP				
	2001-338128	12-7-2001	JP				
	2002-024612A	01-25-2002	JP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER /Scott Trotter/

DATE CONSIDERED 10/01/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ST/